

PEMP Risk Registry  
Office of Assurance  
January 15, 2009

<u>Item #</u>	<u>Date Entered</u>	<u>Risk/Area of Concern</u>	<u>Reference</u>	<u>Risk Level</u>	<u>Corrective Action</u>	<u>Status</u>	<u>OPR</u>
1	05/15/2007	SLAC Management should consider conducting an in-depth staffing analysis throughout the M&O operations to address skills, mix, and succession planning	Objective 4.2	M	Once the business plans called for and some of the other items are completed, we will compare staffing levels and skills to work required, conduct a gap analysis, and make recommendations.	This will not begin until the other items that inform us of the situation are completed.	Larry Young
2	05/15/2007	2007 OIO CAP	Other	H	Implementing corrective actions to all 10 Findings from the OIO ES&H Evaluation	As of 1/6/09, 87 of the 102 (85%) corrective actions that were developed by SLAC to address the 10 OIO Findings have been completed (no overdue) with the remaining 15 on schedule for completion in FY09.	Walter Leclerc
3	05/23/2008	Aggressively implement the SLAC Improvement Initiative against plan/Business Planning Process with the Operations Directorate	Other & Measure 4.2.5	M	The SLAC Improvement Initiative launched several projects in the area of integrated performance management, HR, Work Planning and Control, and business services. These projects started the process of putting in place the foundational elements of sustainable changes. This has no moved into the line organizations.	These action items have become part of the business planning process of the Operations Directorate. Examples include WPC within ES&H, Enterprise Systems within BSD, Information Portal Development within TIS and aggregation of appropriate functions into the newly formed IPM organization (requirements management, project management, assurance, policies and procedures, business planning, etc.).	Sandy Merola

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4	05/23/2008	Continue to aggressively focus on improving safety performance and modeling/positive reinforcement of safe and accountable behaviors.	Goal 4.0 & 5.0	H	Multi-phased process.	Continuous process.	Craig Ferguson
5	05/23/2008	Ensure senior management ownership of work planning & control process improvements.	Goal 5.0	H	One corrective action was to hire a WPC Manager; other actions are being evaluated	Hired a new WPC in May 2008; continuous process	Craig Ferguson
6	05/23/2008	Implement substantial improvement in the oversight of weekend, backshift, and subcontractor work.	Goal 5.0	M	ALDs and their subordinate managers conduct periodic walkthroughs of weekend and backshift work by their subcontractors and SLAC personnel.	Continuous process.	Brian Sherin
7	05/23/2008	Conduct all procurement operations professionally and following IAW procedures.	Goal 6.0	L	No input received.	No input received.	Bob Todaro
8	05/23/2008	Manage all projects, no matter how small within approved baselines.	Goal 7.0	M	A central project office is under consideration to address this issue.	In-progress.	Stan Cohelan