

About APPLE II Operation

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Content

APPLE II at SLS

Operating of the standard APPLE II

Operating of the fixed gap APPLE II

Advanced operation of APPLE II

APPLE II at SLS started in close collaboration with BESSY

UE56 twin undulator

UE54 works on extreme high harmonics: energy range 200eV-8keV

(up to 28th harmonic)

Increasing user demand on LinRot

LinRot is a true 2-dim problem (Circ is just a single line in gap-shift room)

Need for an automated setting

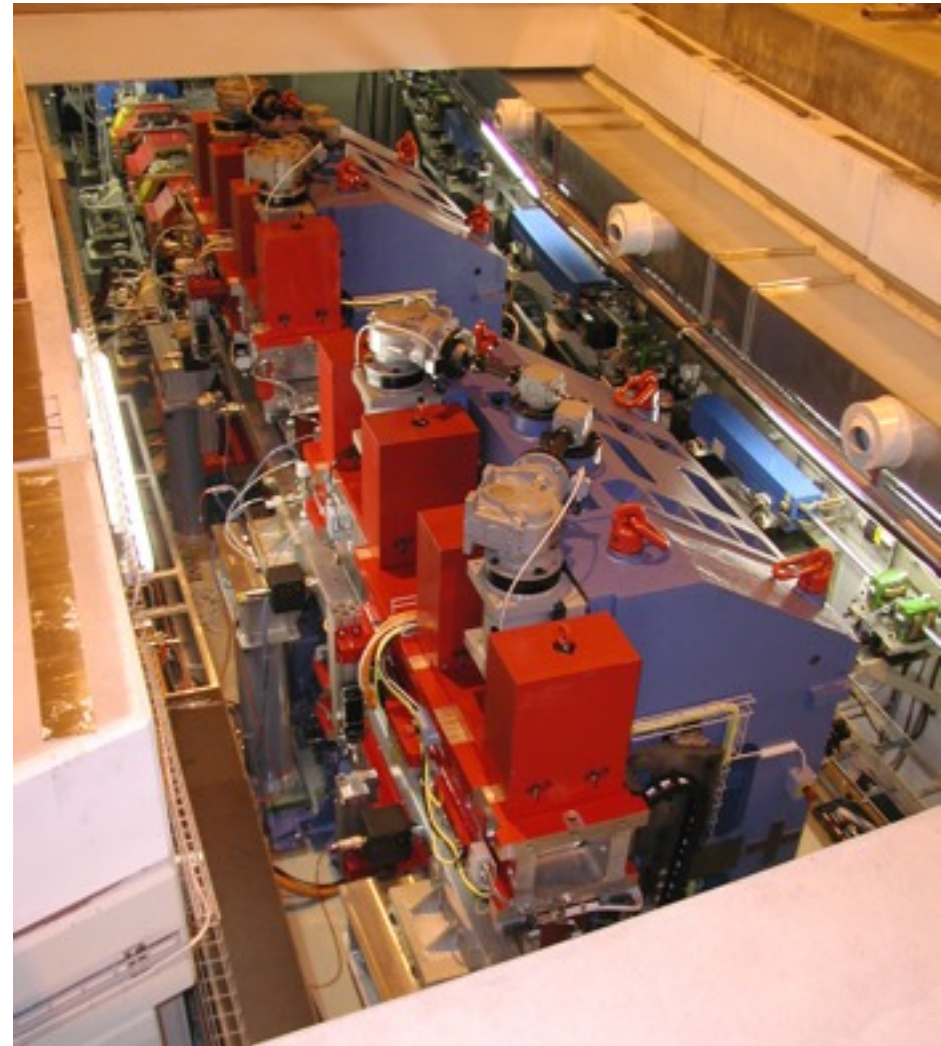
Wish for 0-180 rotation: 4 shift axes

Plan to use adjustable phase concept

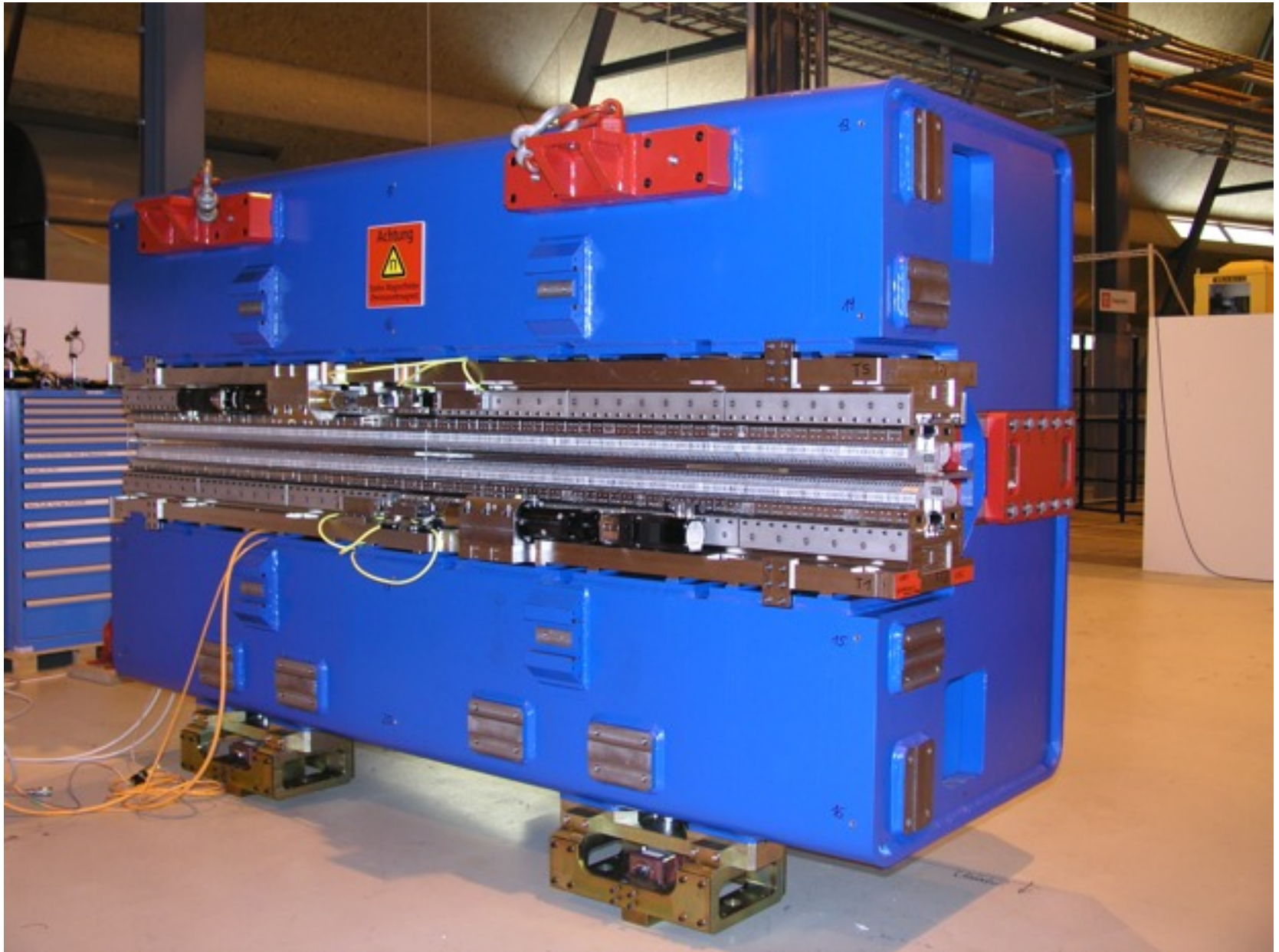
UE44 fixed gap undulator (installed Nov. 2006)

Automated setting of phase and energy shift

built after design of BESSY with strong support from J.Bahrdr



Modes: circular, elliptical, linear 0 – 90 deg



ID	gap [mm]	Bz/Bx [T]	Kz/Kx	N	Harm	Energy [keV]	Type
Soft x-ray:							
UE56	16	0.83/0.6	4.4/3.2	2x32	1-5	0.09–2	twin APPLE II
UE54	16	0.79/0.54	4.0/2.7	32	3-30	0.2–8	APPLE II
UE44	11.4	0.86/0.65	3.5/2.7	75	1-5	0.3-2	fixed gap APPLE II
UE212	20	0.4/0.1	7.9/2.0	2x19	1-7	0.008–0.6	quasi-periodic ELM
Hard x-ray:							
U24	6	0.93	2.0	65	3-11	5-12	NdFeB (32EH)
U19	5	0.86	1.5	95	3-13	5-18	Sm ₂ Co ₁₇ (Recoma 28)
U19 (2x)	5	0.89	1.6	95	3-13	5-18	NdFeB (27VH)
U19	5.5	0.85	1.5	95	3-13	5-18	NdFeB (32EH)
Wiggler:							
W61	8	1.95	11.1	30		$E_c = 7.5$	wiggler
W138	12	1.83	23.6	15	1	0.0015	modulator Femto

Operating an APPLE II:

user interested in Energy and Polarization

Energy, Polarization = $f(\text{gap}, \text{shift})$

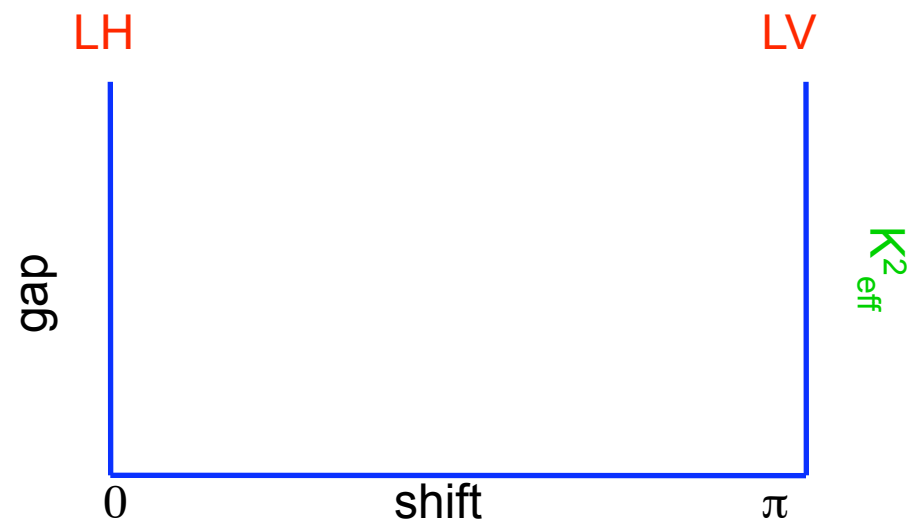
but what's needed:

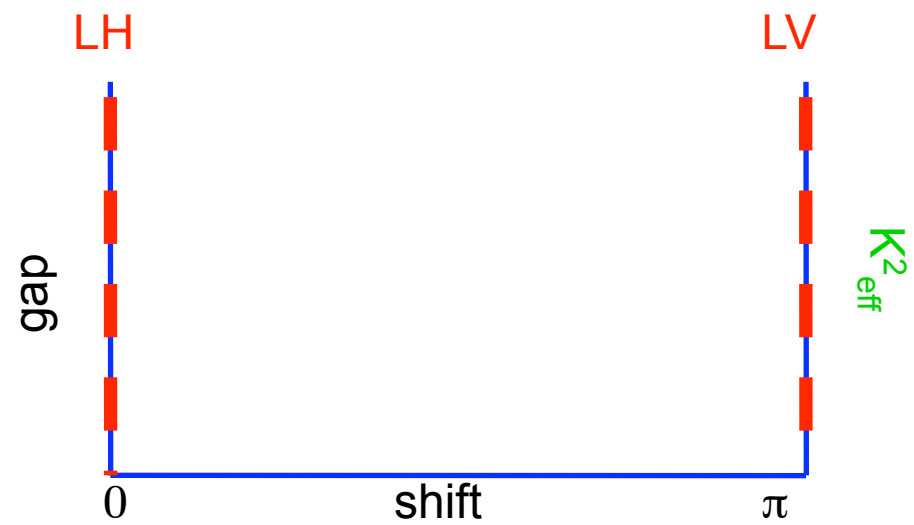
Gap, Shift = $f(\text{Energy}, \text{Polarization})$,

including energy shift due to emittance and aperture

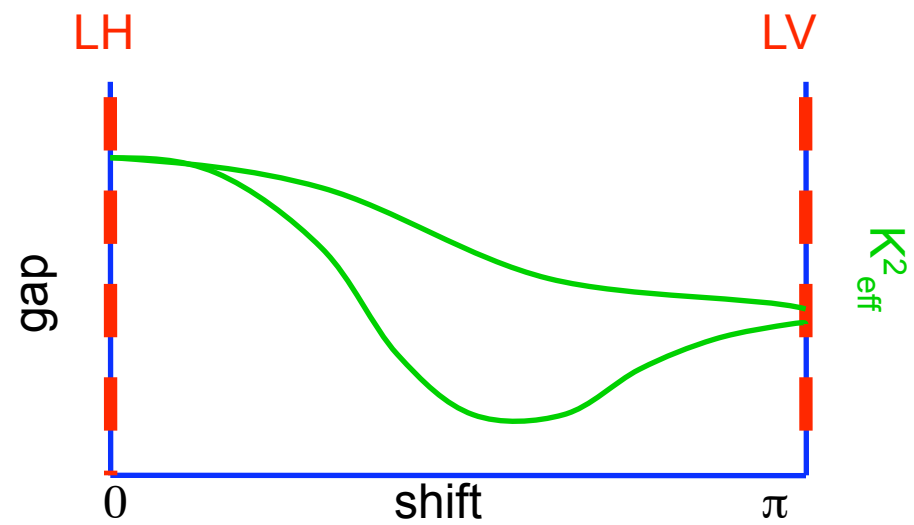


Semianalytical Model





1st Fit energy to gap at the beamline at known polarizations: LH and LV



- 1st Fit energy to gap at the beamline at known polarizations: LH and LV
- 2nd use analytical model for shift dependence

Fit to measured energies vs gap at LH and LV

Model ppm

$$B = a \times \exp(-\pi g / \lambda_U)$$

Fit to measured energies vs gap at LH and LV

Model ppm

$$B = a \times \exp(-\pi g / \lambda_U) \longrightarrow B_z / B_x = \text{const}$$

Fit to measured energies vs gap at LH and LV

Model ppm

$$B = a \times \exp(-\pi g / \lambda_U) \longrightarrow B_z / B_x = \text{const}$$

hybrid

$$B = a \times \exp(-b g / \lambda_U + c g^2 / \lambda_U^2) \quad \checkmark$$

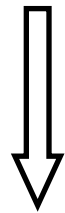
Fit to measured energies vs gap at LH and LV

Model ppm

$$B = a \times \exp(-\pi g / \lambda_U) \longrightarrow B_z / B_x = \text{const}$$

$$B = a \times \exp(-b g / \lambda_U + c g^2 / \lambda_U^2) \quad \checkmark$$

hybrid



$$E = \frac{C}{1 + \frac{K^2}{2}} \quad C = 1.24 \times 10^{-6} \times 2\gamma^2 / \lambda_U$$

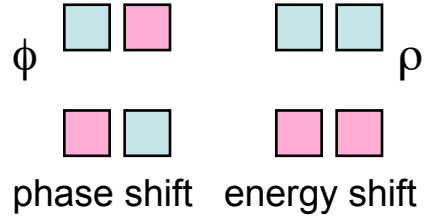
$$E_{LH} = \frac{A_0}{1 + A_1 \exp(g(A_2 + A_3 g))}$$


$$K_{z0}^2 = A_1 \times \exp(g(A_2 + A_3 g))$$


$$E_{LV} = \frac{B_0}{1 + B_1 \exp(g(B_2 + B_3 g))}$$

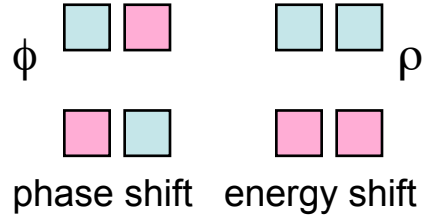
$$K_{x0}^2 = B_1 \times \exp(g(B_2 + B_3 g))$$

Shift variation



$\phi_2 + \rho_2$   $\phi_1 + \rho_1$

$\phi_3 + \rho_3$   $\phi_4 + \rho_4$

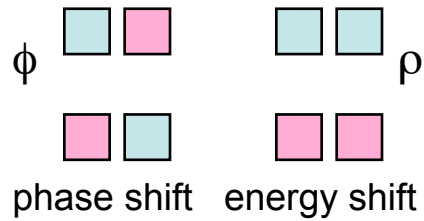


$$\phi_2 + \rho_2 \quad \text{light blue square} \quad \text{light blue square} \quad \phi_1 + \rho_1$$

$$\phi_3 + \rho_3 \quad \text{light blue square} \quad \text{light blue square} \quad \phi_4 + \rho_4$$

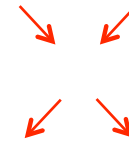
$$K_z(s) = K_{zi} \left[\cos(ks + \phi_1 + \rho_1) + \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) + \cos(ks + \phi_4 + \rho_4) \right]$$

$$K_x(s) = K_{xi} \left[\cos(ks + \phi_1 + \rho_1) - \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) - \cos(ks + \phi_4 + \rho_4) \right]$$



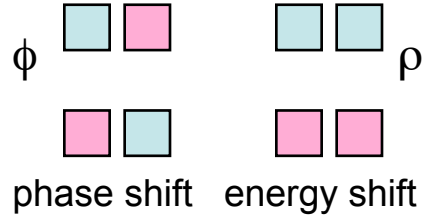
$$\phi_2 + \rho_2 \quad \text{light blue square} \quad \text{light blue square} \quad \phi_1 + \rho_1$$

$$\phi_3 + \rho_3 \quad \text{light blue square} \quad \text{light blue square} \quad \phi_4 + \rho_4$$



$$K_z(s) = K_{zi} \left[\cos(ks + \phi_1 + \rho_1) + \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) + \cos(ks + \phi_4 + \rho_4) \right]$$

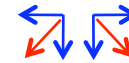
$$K_x(s) = K_{xi} \left[\cos(ks + \phi_1 + \rho_1) - \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) - \cos(ks + \phi_4 + \rho_4) \right]$$



$$\phi_2 + \rho_2 \quad \text{□} \quad \text{□} \quad \phi_1 + \rho_1$$

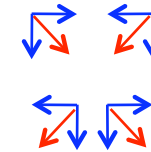
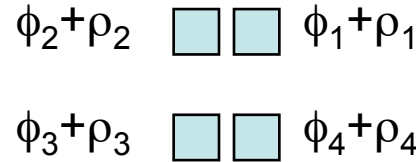
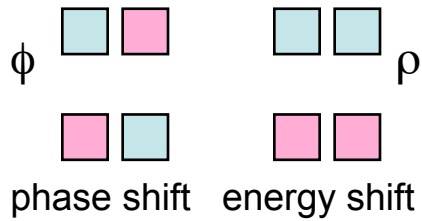


$$\phi_3 + \rho_3 \quad \text{□} \quad \text{□} \quad \phi_4 + \rho_4$$



$$K_z(s) = K_{zi} \left[\cos(ks + \phi_1 + \rho_1) + \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) + \cos(ks + \phi_4 + \rho_4) \right]$$

$$K_x(s) = K_{xi} \left[\cos(ks + \phi_1 + \rho_1) - \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) - \cos(ks + \phi_4 + \rho_4) \right]$$



$$K_z(s) = K_{zi} \left[\cos(ks + \phi_1 + \rho_1) + \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) + \cos(ks + \phi_4 + \rho_4) \right]$$

$$K_x(s) = K_{xi} \left[\cos(ks + \phi_1 + \rho_1) - \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) - \cos(ks + \phi_4 + \rho_4) \right]$$

Shift of maxima:

$$K_z = s_0 + \frac{\phi}{2} + \frac{\rho}{2}$$

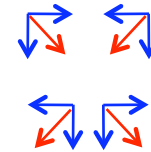
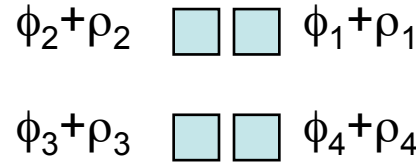
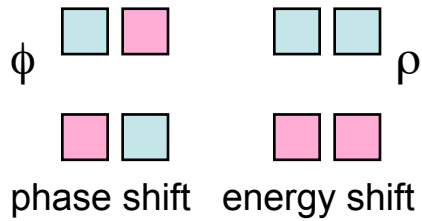
$$K_z = s_0 + \frac{\rho}{2}$$

$$K_x = s_0 + \frac{\phi}{2} + \frac{\rho}{2} + \frac{\lambda_U}{4}$$

$$K_x = s_0 + \frac{\rho}{2}$$

circular

linear



$$K_z(s) = K_{zi} \left[\cos(ks + \phi_1 + \rho_1) + \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) + \cos(ks + \phi_4 + \rho_4) \right]$$

$$K_x(s) = K_{xi} \left[\cos(ks + \phi_1 + \rho_1) - \cos(ks + \phi_2 + \rho_2) + \cos(ks + \phi_3 + \rho_3) - \cos(ks + \phi_4 + \rho_4) \right]$$

Shift of maxima:

$$K_z = s_0 + \frac{\phi}{2} + \frac{\rho}{2}$$

$$K_z = s_0 + \frac{\rho}{2}$$

$$K_x = s_0 + \frac{\phi}{2} + \frac{\rho}{2} + \frac{\lambda_U}{4}$$

$$K_x = s_0 + \frac{\rho}{2}$$

circular

linear

Link to angle and energy:

$$\tan \alpha = K_z / K_x$$

$$E = \frac{C'}{1 + \frac{K_{eff}^2}{2}}, \quad K_{eff}^2 = K_z^2 + K_x^2, \quad C' = A_0 \cos^2 \phi + B_0 \sin^2 \phi$$

limes for $K_{eff} \rightarrow 0$, including red shift

Red shift due to:

aperture	const.
electron emittance	const.
diffraction	energy dependent for lower energies

Example UE56:

theoretical limes

from fits at LH and LV

covers all
components of red
shift

Red shift due to:

aperture	const.
electron emittance	const.
diffraction	energy dependent for lower energies

Example UE56:

$$C = 1.24 \times 10^{-6} \times \gamma^2 / \lambda_U \quad E = 2.411 \text{ GeV}, \lambda_U = 56.3 \text{ mm}$$

$$C = 980.5 \text{ eV} \quad \text{theoretical limes}$$

$$A_0 = 963.3 \text{ eV}$$

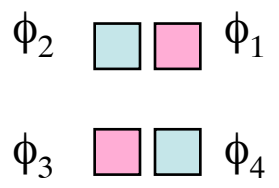
$$B_0 = 965.1 \text{ eV} \quad \text{from fits at LH and LV}$$

$$C' = A_0 \cos^2 \phi + B_0 \sin^2 \phi \quad \text{covers all components of red shift}$$

$$K_{z0}^2 = A_1 \times \exp(g(A_2 + A_3 g))$$

$$K_{x0}^2 = B_1 \times \exp(g(B_2 + B_3 g))$$

Circular





Linear

Circular $\phi_1 = \phi_3 = \phi$

$$K_z(s) = K_{zi} (2 \cos(ks + \phi) + 2 \cos ks)$$

$$K_x(s) = K_{xi} (2 \cos(ks + \phi) - 2 \cos ks)$$

ϕ_2   ϕ_1

ϕ_3   ϕ_4



$$\cos x + \cos y = 2 \cos \frac{x+y}{2} \cos \frac{x-y}{2}$$

$$\cos x - \cos y = -2 \sin \frac{x+y}{2} \sin \frac{x-y}{2}$$

$$K_z = K_{z0} \cos \frac{\phi}{2}$$

$$K_x = -K_{x0} \sin \frac{\phi}{2}$$

Linear $\phi_3 = -\phi_1$

$$K_z(s) = K_{zi} (\cos(ks + \phi) + \cos(ks - \phi) + 2 \cos ks)$$

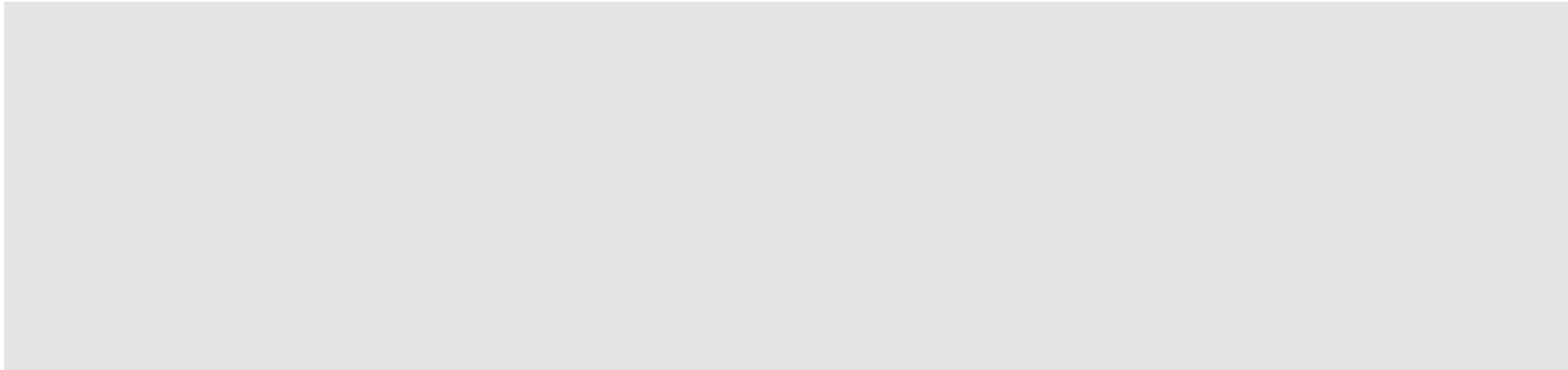
$$K_x(s) = K_{xi} (\cos(ks + \phi) + \cos(ks - \phi) - 2 \cos ks)$$

$$K_z = K_{z0} \cos^2 \frac{\phi}{2}$$

$$K_x = -K_{x0} \sin^2 \frac{\phi}{2}$$

Circular

Linear



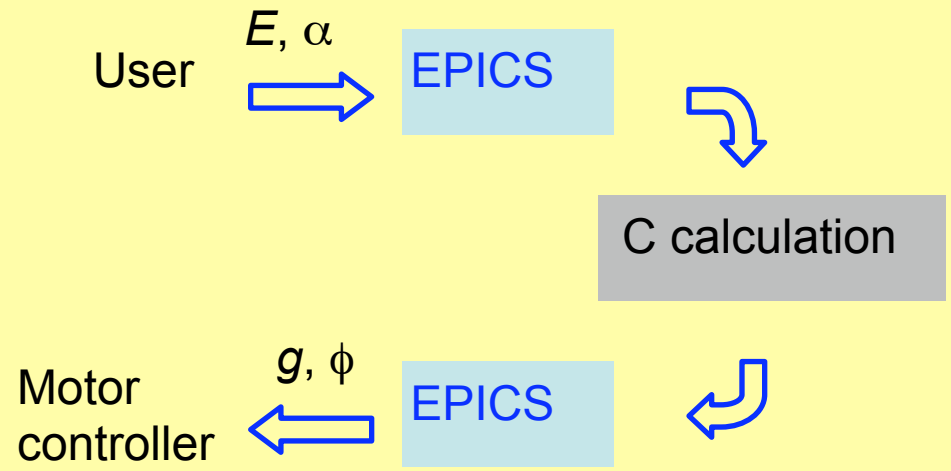
Implementation:

Fit measured E vs g @ LH and LV

Calculate gap and shift by

Numerical solution of $E = f(g)$

Calculate ϕ



Circular

Linear

$$\phi = 2 \arctan R_h \frac{K_{z0}}{K_{x0}}, \quad R_h = 1(0.8, 0.6)$$

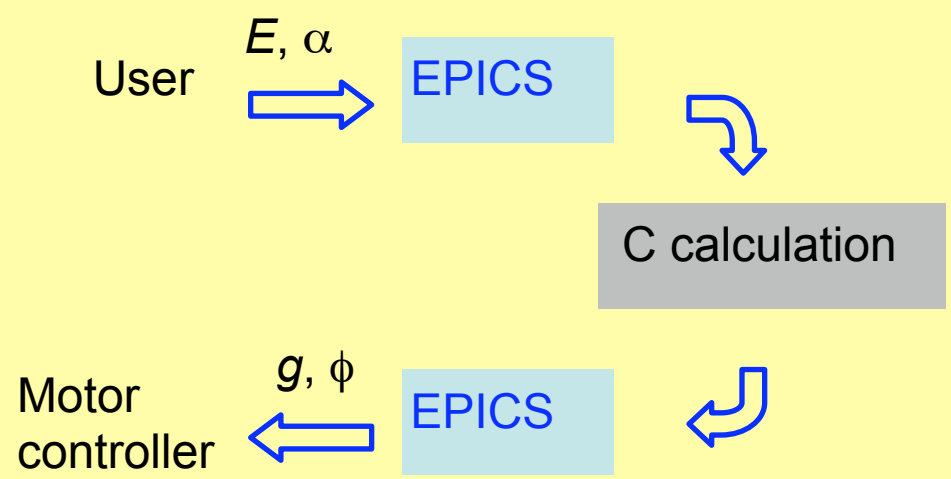
$$\phi = 2 \arctan \sqrt{\frac{1}{\tan \alpha} \frac{K_{z0}}{K_{x0}}}$$

$$E = \frac{C'}{1 + 0.5 \left(K_{zo}^2 \cos^2 \frac{\phi}{2} + K_{x0}^2 \sin^2 \frac{\phi}{2} \right)}$$

$$E = \frac{C'}{1 + 0.5 \left(K_{zo}^2 \cos^4 \frac{\phi}{2} + K_{x0}^2 \sin^4 \frac{\phi}{2} \right)}$$

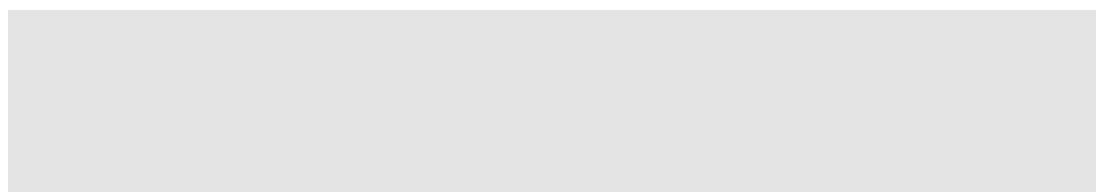
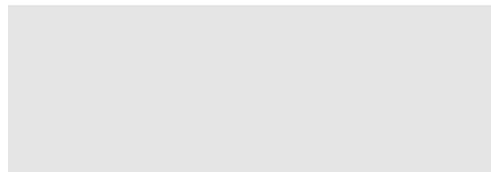
Implementation:

- Fit measured E vs g @ LH and LV
- Calculate gap and shift by
- Numerical solution of $E = f(g)$
- Calculate ϕ



Circular

$$\phi_2 + \rho_2 \quad \square \quad \square \quad \phi_1 + \rho_1$$
$$\phi_3 + \rho_3 \quad \square \quad \square \quad \phi_4 + \rho_4$$



C' from E vs ρ

analytic

Circular

$$\phi_3 = \phi_1 = \phi, \quad \rho_1 = \rho_2 = \rho$$

$$\phi_2 + \rho_2 \quad \square \quad \square \quad \phi_1 + \rho_1$$

$$\phi_3 + \rho_3 \quad \square \quad \square \quad \phi_4 + \rho_4$$

$$K_z(s) = K_{zi} (\cos(ks + \phi + \rho) + \cos(ks + \rho) + \cos(ks + \phi) + \cos ks)$$

$$K_x(s) = K_{xi} (\cos(ks + \phi + \rho) - \cos(ks + \rho) + \cos(ks + \phi) - \cos ks)$$

$$K_z(s) = K_{z0} \cos \frac{\phi}{2} \cos \frac{\rho}{2} \cos \frac{2ks + \phi + \rho}{2}$$

$$K_z = K_{z0} \cos \frac{\phi}{2} \cos \frac{\rho}{2}$$

$$K_x(s) = -K_{x0} \sin \frac{\phi}{2} \cos \frac{\rho}{2} \sin \frac{2ks + \phi + \rho}{2}$$

$$K_x = K_{x0} \sin \frac{\phi}{2} \cos \frac{\rho}{2}$$

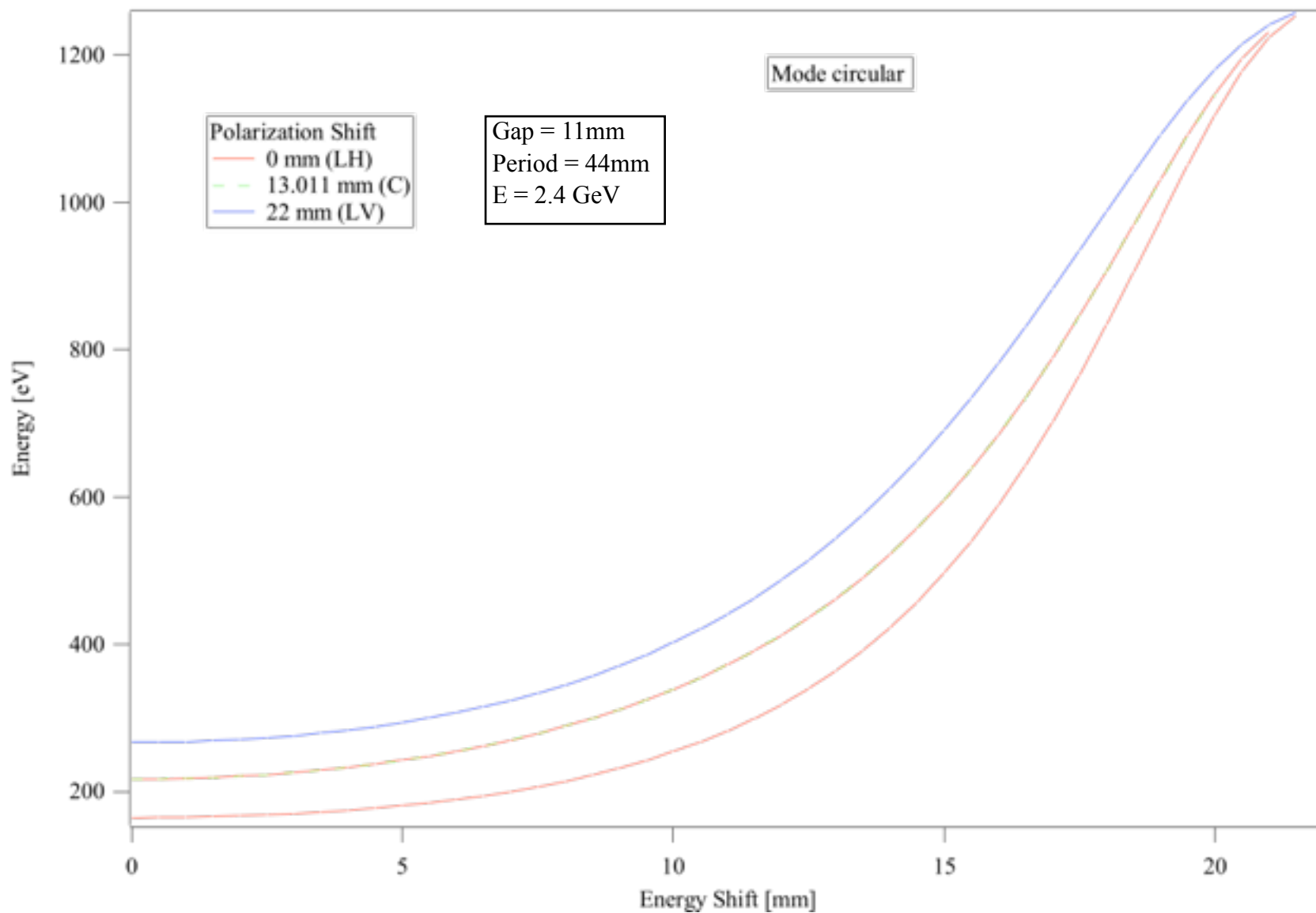
$$\phi = 2 \arctan R_h \frac{K_{z0}}{K_{x0}}$$

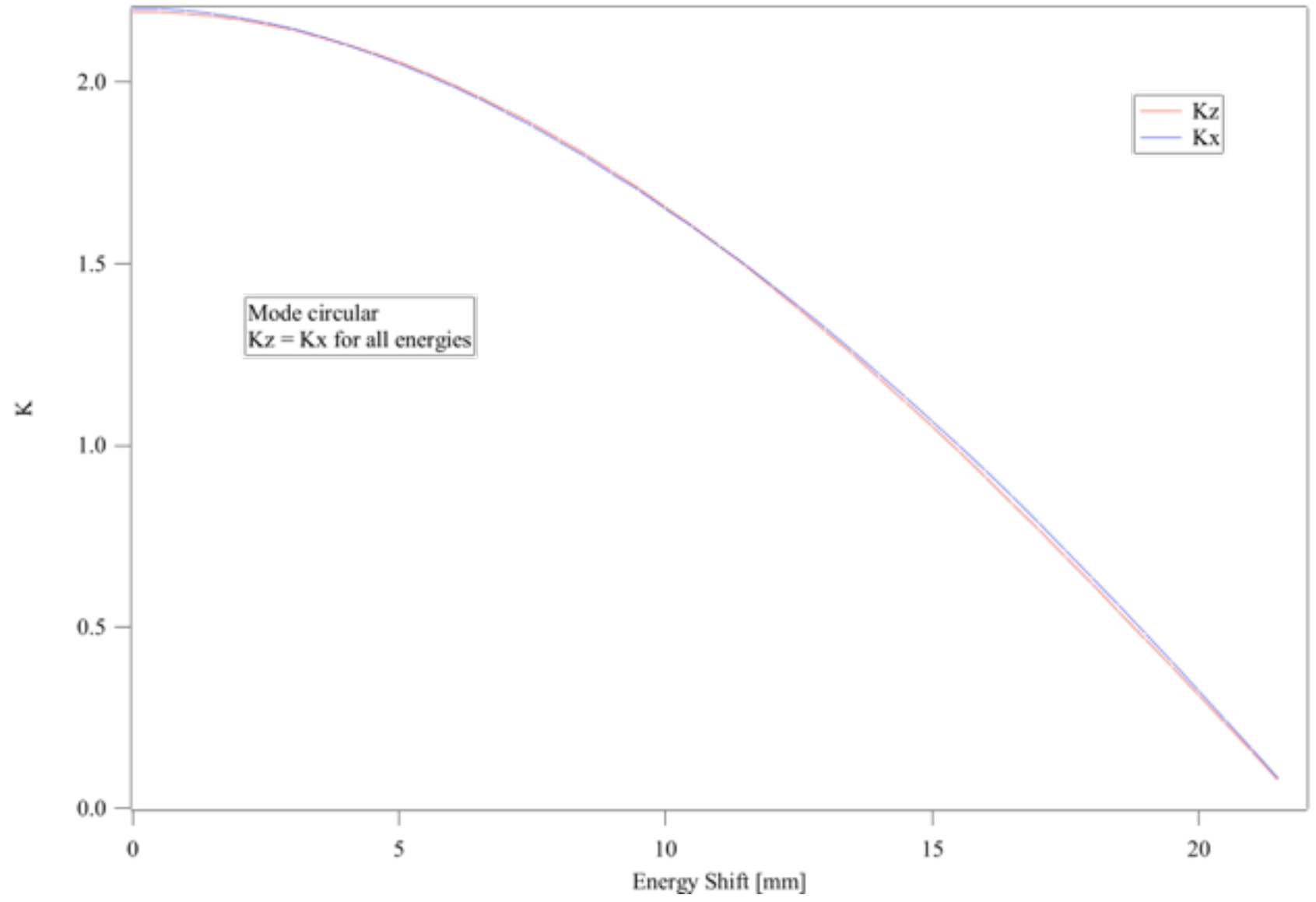
$$E = \frac{C'}{1 + 0.5 \cos^2 \frac{\rho}{2} (K_{z0}^2 \cos^2 \frac{\phi}{2} + K_{x0}^2 \sin^2 \frac{\phi}{2})}$$

$$\rho = 2 \arccos \sqrt{2 \left(\frac{C'}{E} - 1 \right) \frac{1}{K_{z0}^2 \cos^2 \frac{\phi}{2} + K_{x0}^2 \sin^2 \frac{\phi}{2}}}$$

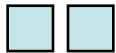
 $\forall \rho$
 C' from E vs ρ

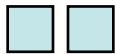
analytic



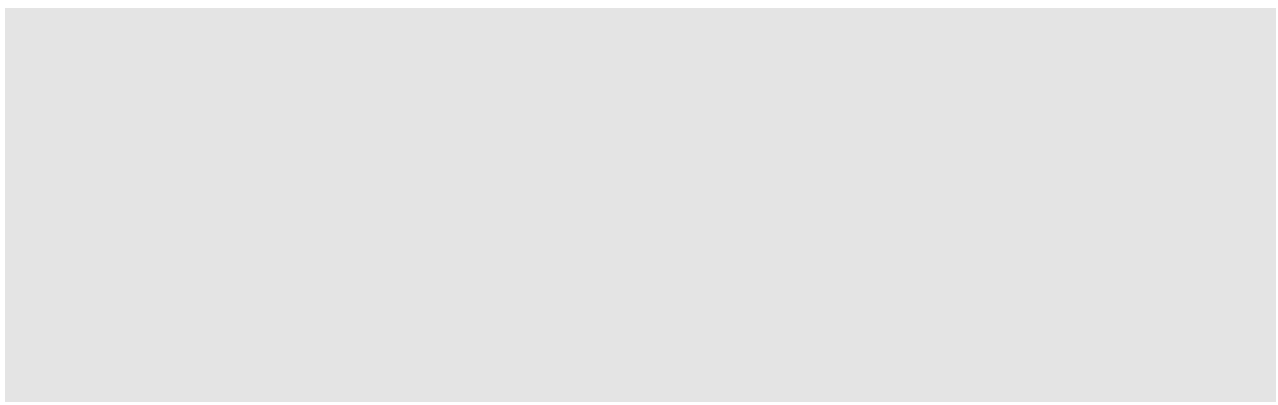


Linear

$\phi_2 + \rho_2$  $\phi_1 + \rho_1$

$\phi_3 + \rho_3$  $\phi_4 + \rho_4$

Maximum shifts by $\rho/2$
 $ks \oplus ks - \rho/2$



Numeric solution

Linear $\phi_3 = -\phi_1, \rho_1 = \rho_2 = \rho$

$$\phi_2 + \rho_2 \quad \square \quad \square \quad \phi_1 + \rho_1$$

$$\phi_3 + \rho_3 \quad \square \quad \square \quad \phi_4 + \rho_4$$

$$K_z(s) = K_{zi} (\cos(ks + \phi + \rho) + \cos(ks + \rho) + \cos(ks - \phi) + \cos ks)$$

$$K_x(s) = K_{xi} (\cos(ks + \phi + \rho) - \cos(ks + \rho) + \cos(ks - \phi) - \cos ks)$$

$$K_z(s) = K_{z0} \cos \frac{\phi}{2} \cos \frac{\phi + \rho}{2} \cos \frac{2ks + \rho}{2}$$

$$K_z = K_{z0} \cos \frac{\phi}{2} \cos \frac{\phi + \rho}{2}$$

$$K_x(s) = K_{x0} \sin \frac{\phi}{2} \cos \frac{\phi + \rho}{2} \sin \frac{2ks + \rho}{2}$$

$$K_x = K_{x0} \sin \frac{\phi}{2} \cos \frac{\phi + \rho}{2}$$

$$\tan \alpha = \frac{K_{z0}}{K_{x0}} \cot \frac{\phi}{2} \cot \frac{\phi + \rho}{2}$$

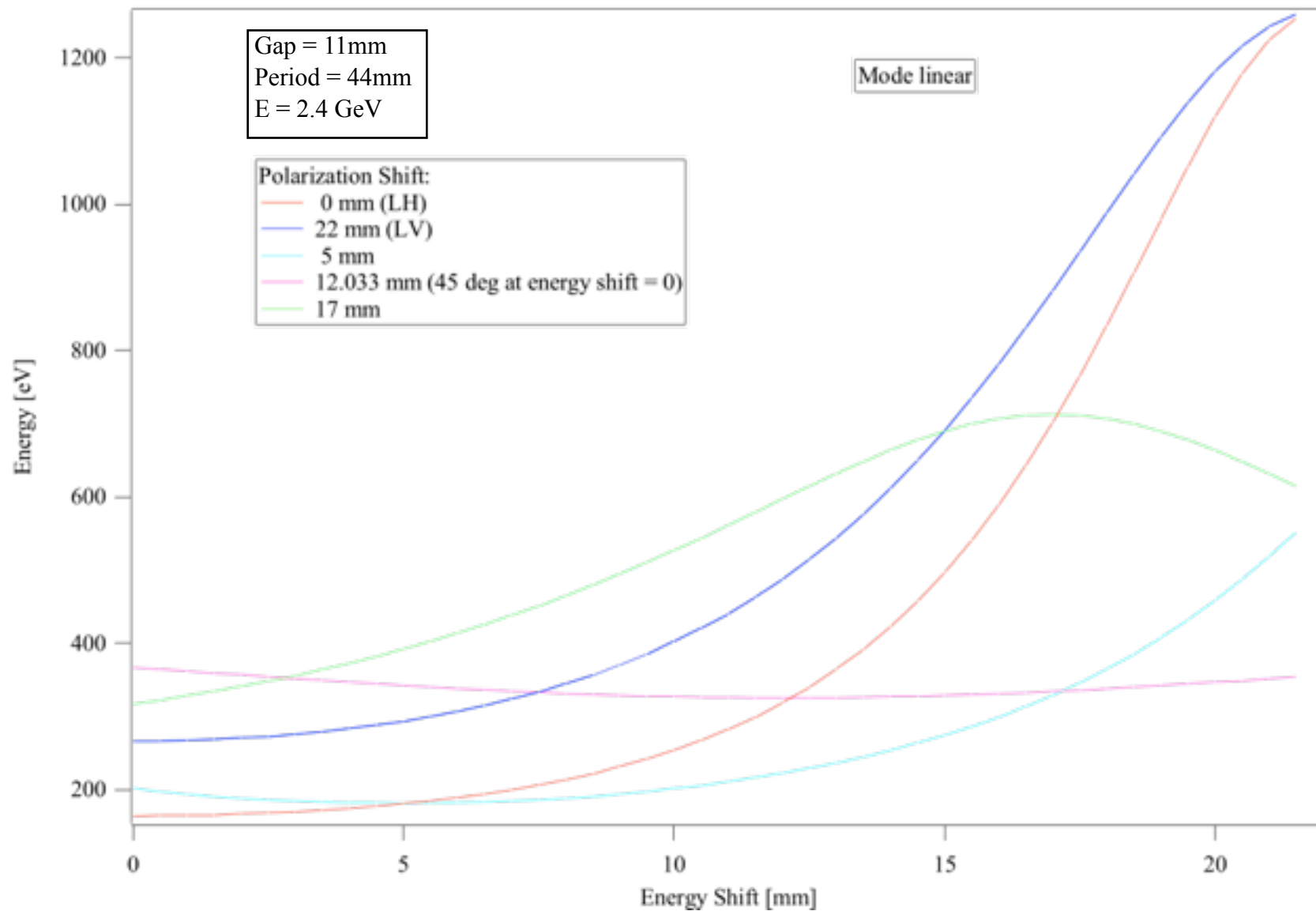
Maximum shifts by $\rho/2$

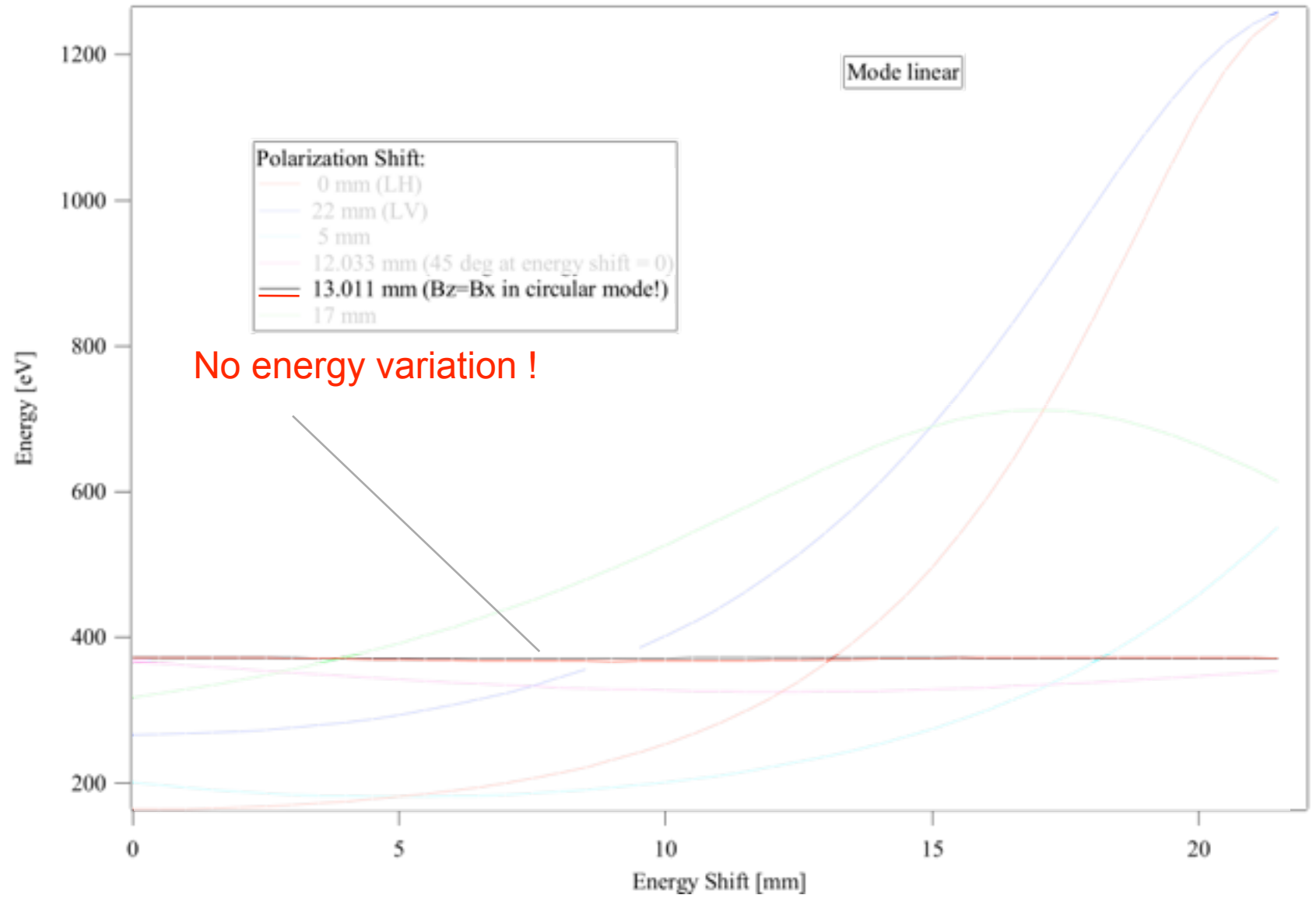
$$ks \textcircled{R} ks - \rho/2$$

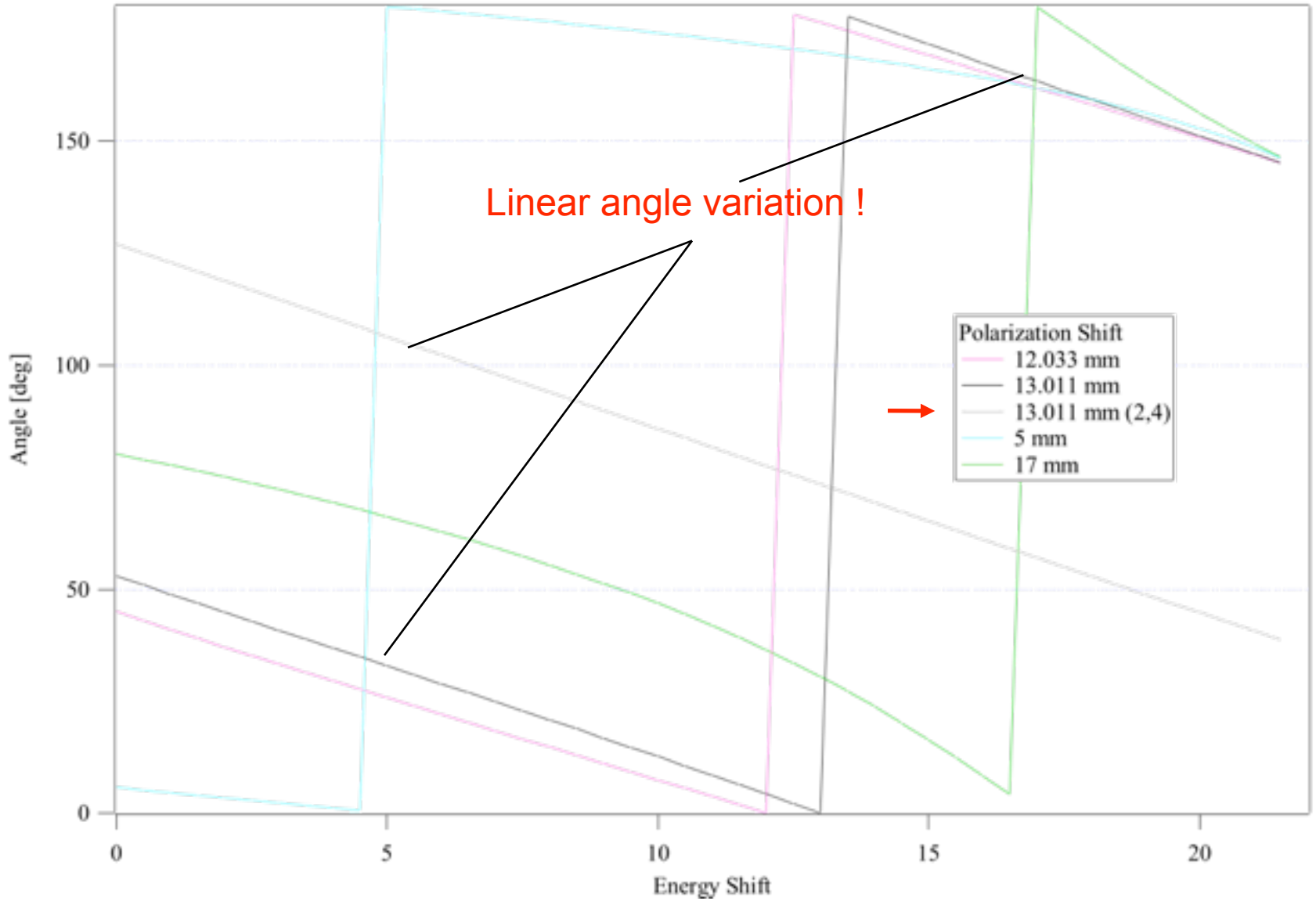
$$\rho = 2 \arctan \left[\frac{K_{z0}}{K_{x0}} \cot \frac{\phi}{2} \cot \alpha \right] - \phi$$

$$E = \frac{C'}{1 + 0.5(K_{z0}^2 \cos^2 \frac{\phi}{2} \cos^2 \frac{\phi + \rho}{2} + K_{x0}^2 \sin^2 \frac{\phi}{2} \sin^2 \frac{\phi + \rho}{2})}$$

Numeric solution







No energy variation with energy shift:

Linear angle variation:

No energy variation with energy shift:

$$E \propto K_{eff}^2 = K_{z0}^2 \cos^2 \frac{\phi}{2} \cos^2 \frac{\phi+\rho}{2} + K_{x0}^2 \sin^2 \frac{\phi}{2} \sin^2 \frac{\phi+\rho}{2} \equiv const.$$

$$\frac{\partial K_{eff}^2(\phi, \rho)}{\partial \rho} = -K_{z0}^2 \cos^2 \frac{\phi}{2} \cos \frac{\phi+\rho}{2} \sin \frac{\phi+\rho}{2} + K_{x0}^2 \sin^2 \frac{\phi}{2} \cos \frac{\phi+\rho}{2} \sin \frac{\phi+\rho}{2} = 0$$

$$\phi = \phi_s = 2 \arctan \frac{K_{z0}}{K_{x0}}$$

Linear angle variation:

$$\rho = 2 \arctan \left(\frac{K_{z0}}{K_{x0}} \frac{1}{\tan \arctan \frac{K_{z0}}{K_{x0}}} \cot \alpha \right) - 2 \arctan \frac{K_{z0}}{K_{x0}}$$

$$= 2 \arctan(\cot \alpha) - 2 \arctan \frac{K_{z0}}{K_{x0}}$$

$$\rho = 2 \left(\pm \frac{\pi}{2} m \alpha \right) - \phi_s$$

Full symmetry of an APPLE II at the symmetry phase:

In circular mode:

constant degree of polarization

energy setting with energy shift ρ

In linear mode:

constant energy

linear variation of polarization angle with ρ

Full symmetry of an APPLE II at the symmetry phase:

$$\phi_s = 2 \arctan \frac{K_{z0}}{K_{x0}}$$

In circular mode:

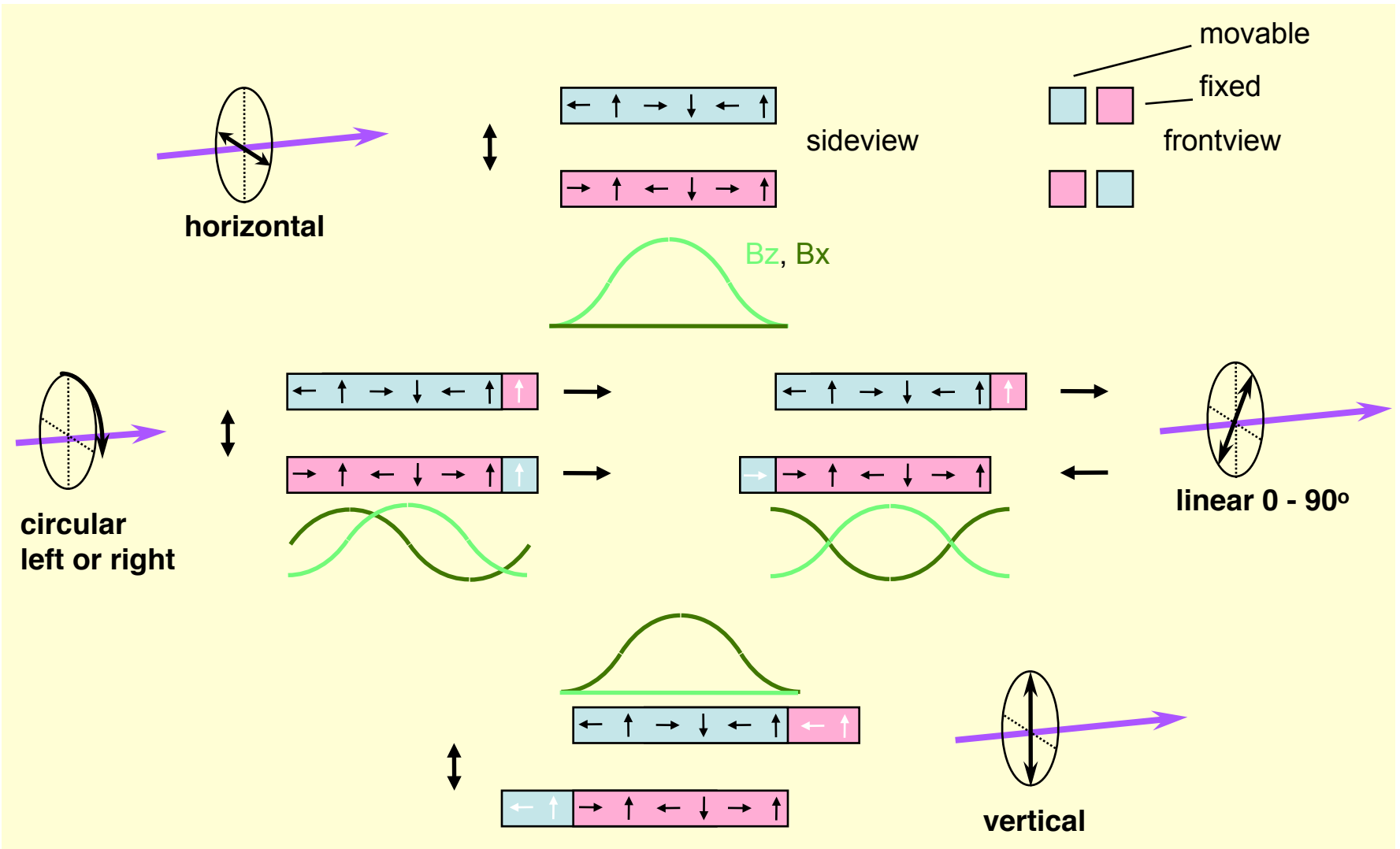
constant degree of polarization

energy setting with energy shift ρ

In linear mode:

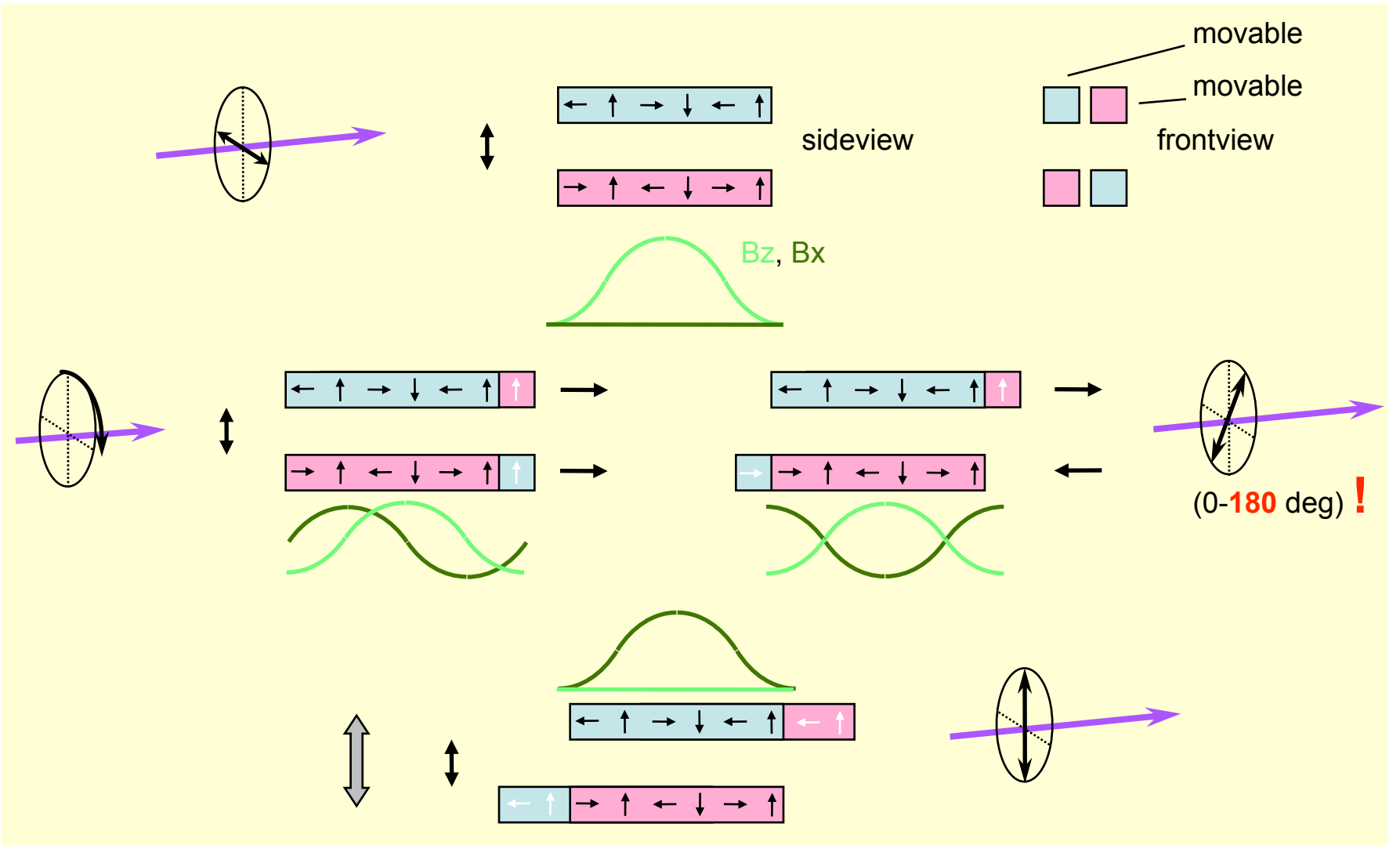
constant energy

linear variation of polarization angle with ρ



\updownarrow 2 gap, energy
 \leftrightarrow 2 shift, polarization

energy, polarization = $f(\text{gap}, \text{shift})$

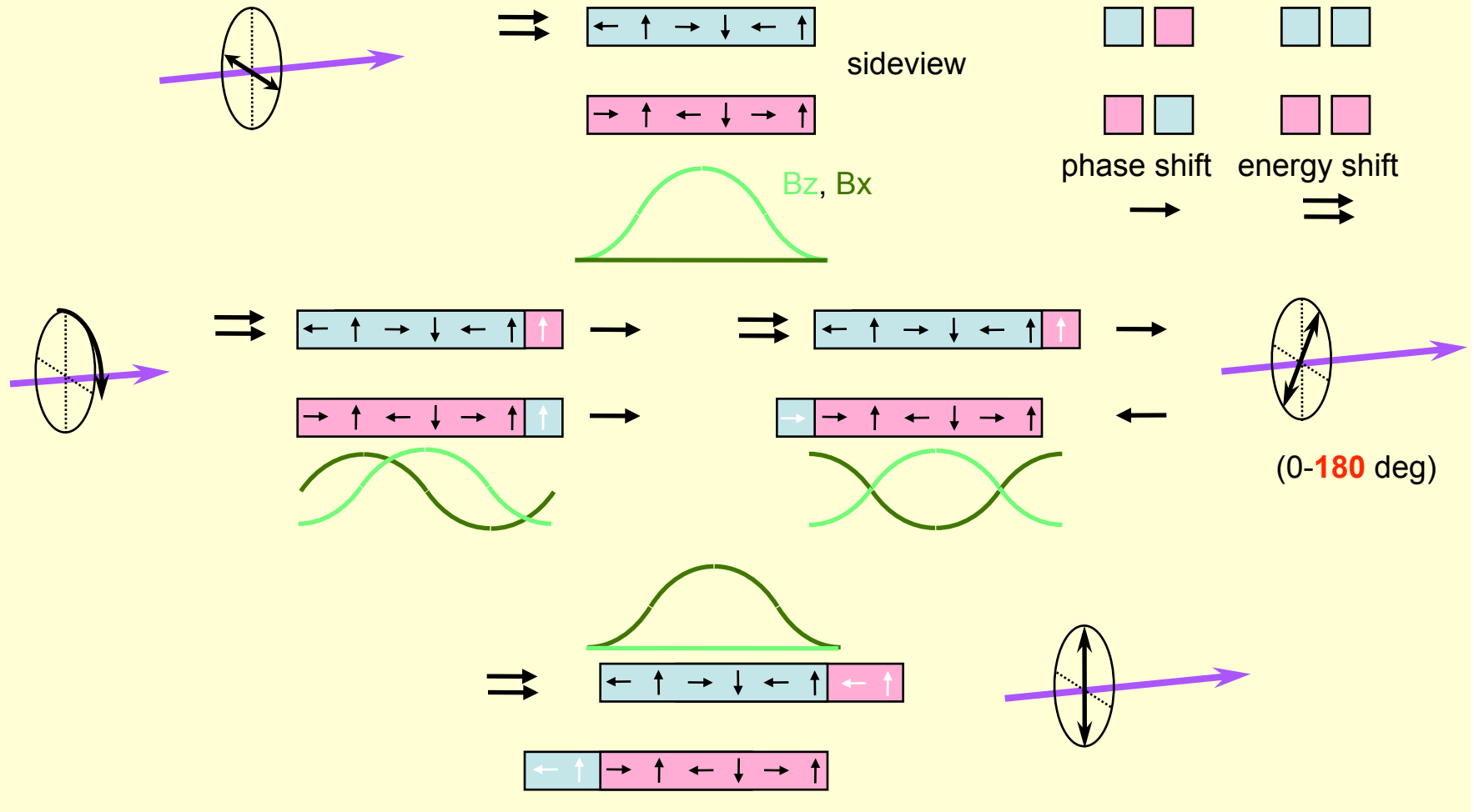


movable
movable
frontview

↕ 2 gap, energy
↔ 4 shift, polarization

energy, polarisation = f (gap, shift)

R. Carr, Adjustable phase undulator, NIM A306, 391 (1991)

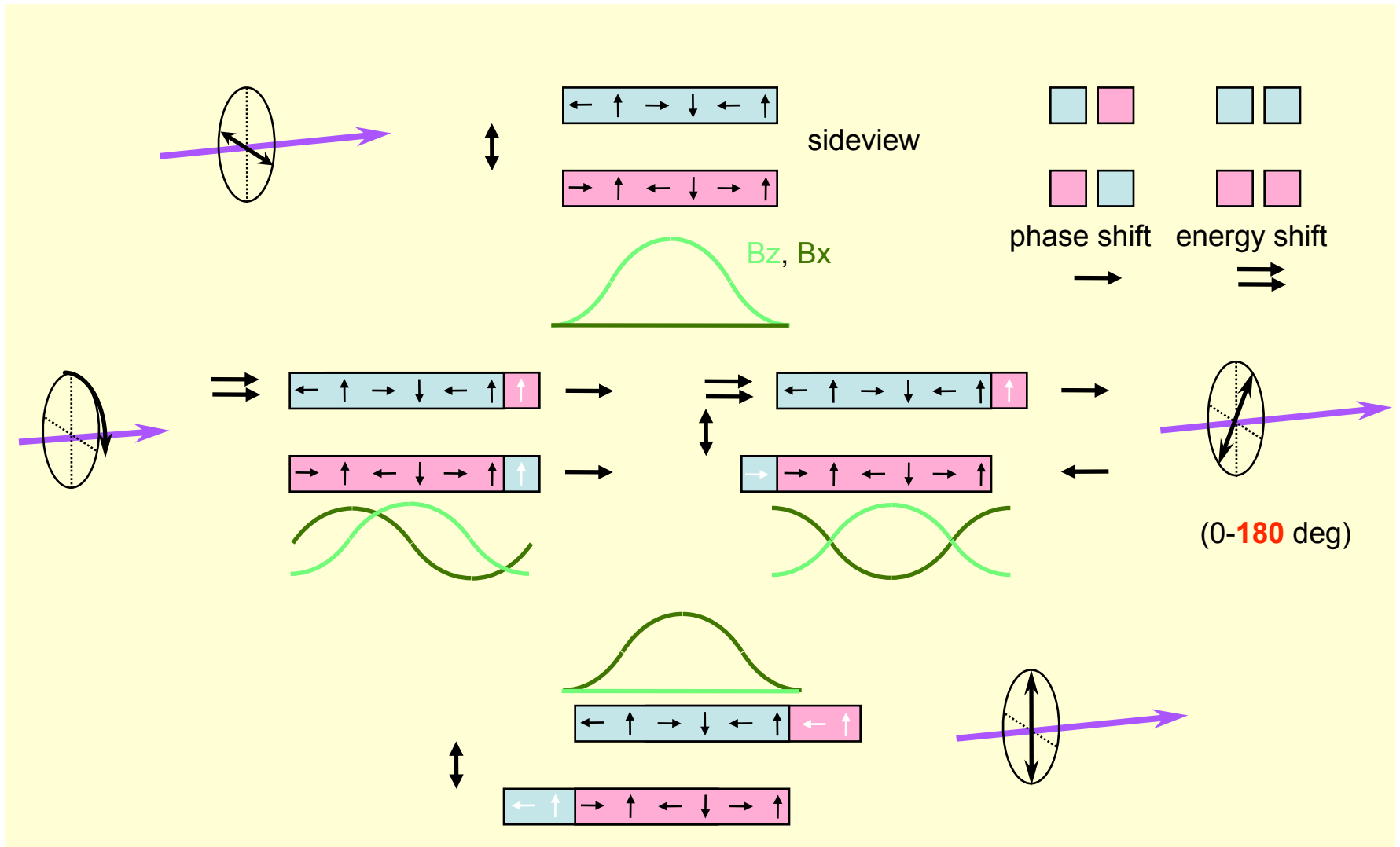


no gap drive: save costs

↔ 4 shift, polarization and energy

Circular: $E = f(\text{energy shift}) \mid \text{phase shift (1-dim)}$

Linear: $E, \alpha = f(\text{energy - and phase shift})$



\updownarrow 2 gap, energy
 \leftrightarrow 4 shift, polarization, energy

Circular: $E = f(\text{energy shift}) \mid \text{phase shift}$ (1-dim)

Linear: $\alpha = \text{linear } f(\text{energy shift}) \mid \text{phase shift}, E=f(\text{gap})$

A semianalytical Model shown for all kinds of APPLE II:

Standard APPLE II

Based on measured data at known polarization states at LH and LV
minimal commissioning effort

Automated algorithm for circular and linrot with numeric solution

Energy shift taken into account (will be implemented soon)

Fixed gap APPLE II

Analytical solution for circular

numeric solution for linrot

Options for 6 motor APPLE II

Advanced operation mode at symmetry phase (analytic, linear)

like fixed gap (use gap drive only for injection open only for injection)

like a standard APPLE II